

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: König, et al.
CONTINUATION
APPLICATION OF
SERIAL NO.: 09/967,372 filed September 28, 2001
DATE FILED: Herewith
TITLE: USE OF A CATALYST FOR REDUCING THE QUANTITY AND/OR
SIZE OF PARTICLES IN DIESEL EXHAUST
PRIOR ART UNIT: 1754
PRIOR EXAMINER: Jonas N. Strickland

October 3, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

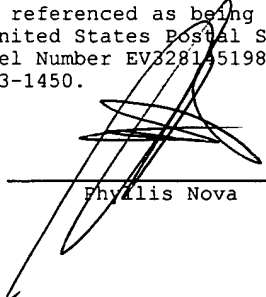
Serial No.: 09/967,372
Filing Date: September 28, 2001

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV328145198US addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 3, 2003



Phyllis Nova

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) _____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) _____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- (c) _____ Abstracts by recognized library services.

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) X Within 3 months of the filing date or date of entry into the National Stage.
- (b) _____ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070 for consideration of this Statement.
- (c) _____ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance (37 C.F.R. 1.97(c)).
 - (1) _____ The required certification is given below, or
 - (2) _____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) _____ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070.
- (d) _____ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

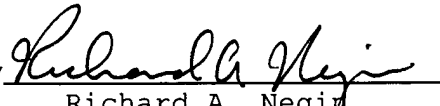
- (1) _____ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
- (2) _____ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 05-1070.

4. Certification (if applicable)

- (a) _____ The undersigned hereby certifies that each item of information contained in a communication from a foreign patent office was cited by the foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) _____ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 05-1070.

Respectfully Submitted,

By 
Richard A. Negin
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Attorney Docket No. : 4147E (CON)
 Applicant : Alex KÖNIG et al.
 Filing Date : Herewith

Serial No. : To Be Assigned
 Art Unit. : To Be Assigned

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS

Ex. Initial	Document No.	Date	Name	Class	Subclass	Filing Date If Appropriate
AA	3,476,508 *	11-4-69	Kearby et al.	23	2	
AB	4,801,567 *	1-31-89	Moorehead	502	77	
AC	4,855,036 *	8-8-89	Chiang et al.	208	120	
AD	4,929,581 *	5-29-90	Steinwandel et al.	502	2	
AE	5,000,929 *	3-19-91	Horiuchi et al.	423	213.5	
AF	5,041,272 *	8-20-91	Tamura et al.	423	239	
AG	5,157,007 *	10-20-92	Domesle et al.	502	66	
AH	5,260,035 *	11-9-93	Lachman et al.	422	180	
AI	5,270,024 *	12-14-93	Kasahara et al.	423	239.2	
AJ	5,300,210 *	4-5-94	Zones et al.	208	46	
AK	5,863,508 *	1-26-99	Lachman et al.	422	171	

FOREIGN PATENT DOCUMENTS

Ex. Initial	Document No.	Date	Country	Class	Subclass	Translation Yes No
AL	245110 *	6-9-60	Australia			
AM	63-1820357 *	7-19-88	Japan			

*copies of referencesubmitted in previously filed IDS in 09/967,372

Attorney Docket No. : 4147E (CON)

Serial No. : To Be Assigned

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AN Ribeiro, F.R., et al. "Zeolites: Science and Technology", 1984, Martinus Nijhoff Publishers*

AO

Examiner: _____ Date Considered: _____

*Examiner: Initial if reference citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

*copies of references submitted in previously filed IDS in 09/067,372